
Market Notice

25 September 2013



N12/13

Notification of Rule Amendments

Introduction

1. This Notice outlines additions to the existing Rules of the London Stock Exchange (the “rules”) as part of a general review including the migration of the derivatives business from Turquoise Global Holdings Limited (“Turquoise”) to London Stock Exchange plc (the “Exchange”). There are no material changes to the existing rules and member firms should note that the additions outlined in the Attachment to this Notice are for clarification and do not require consultation.

Amendments

Definitions

2. Definitions of the ‘London Stock Exchange Derivatives Market’, ‘Rules of the London Stock Exchange’ and ‘Rules of the London Stock Exchange Derivatives Market’ are being introduced to clarify which rulebook applies to which market. The definition ‘American form’ is being removed as it is a redundant term.

Categories of Membership

3. Rule 1000 is being amended to include the membership category of a derivatives market only member. Those members who wish to access the derivatives market will be subject to the Rules of the London Stock Exchange Derivatives Market for all activity in relation to the London Stock Exchange Derivatives Market.
4. References to email addresses have been updated to reflect the new domain name from londonstockexchange.com to lseg.com.

Effective date of rules changes

5. The rule changes detailed in the Attachments will become effective from Monday 30 September 2013. An updated version of the rules will be available on this date on the Exchange’s website at:
<http://www.londonstockexchange.com/traders-and-brokers/rules-regulations/rules-regulations.htm>

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6. Any comments or queries on this notice should be addressed to Nisha Patel, UK Regulation, telephone +44 (0)20 7797 3130 (STX 33130) or email: npatel@lseg.com

Denzil Jenkins
Head of Compliance & Regulation

This Stock Exchange Notice will be available on the website at
<http://www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/stockexnoticesnew/>

Calls to London Stock Exchange plc may be recorded to enable London Stock Exchange to carry out its regulatory responsibilities