

Definitions and Rules Tracker

Existing Definition / Rule Number	New Definition / Rule Number	Action	Action as a result of: MiFID II = M General rule review = G No impact = N/A
		DEFINITIONS	
admitted to trading		Retained.	N/A
agency cross agent		Retained.	N/A
AIM		Retained.	N/A
AIM security		Retained.	N/A
AIM primary market registered organisation		Retained.	N/A
AIM secondary market registered organisation		Retained.	N/A
authorised person		Deleted as the definition is not used within the rule book.	G
back		Definition has been amended for further accuracy.	G
best bid		Retained.	N/A
best offer		Retained.	N/A
bid price		Retained and updated.	G
broker dealer		Amended to remove references to Gilt Inter Dealer Brokers and Wholesale Dealer Brokers.	M
business day		Retained.	N/A
buyer		Retained.	N/A
buying-in		Retained.	N/A
buying-in notice		Retained.	N/A
call payment day		Retained.	N/A
Capital Adequacy Directive		Deleted as the definition is not used within the rule book.	G
CDI		Deleted as the definition is not used within the rule book.	G
central counterparty		Retained.	N/A
central counterparty contract		Retained.	N/A
central counterparty netting service		Retained.	N/A
central counterparty rules		Retained.	N/A

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central counterparty security		Retained.	N/A
central counterparty trade		Retained.	N/A
choice		Retained.	N/A
clearing member		Retained.	N/A
clearing membership agreement		Retained.	N/A
compliance procedures		Retained.	N/A
connected person		Deleted as the definition is not used within the rule book.	G
contra		Retained.	N/A
counterparty		Retained.	N/A
covered warrant		Retained.	N/A
covered warrant market maker		Deleted as the definition is not used within the rule book.	G
covered warrant order book		Deleted as the definition is not used within the rule book.	G
CREST		Retained.	N/A
CREST instruction deadline		Retained.	N/A
customer		Retained.	N/A
dealing agent		Definition deleted, however, the concept of a dealing agent is retained within the rules.	G/M
default official		Retained.	N/A
default rules		Retained.	N/A
defaulter		Retained.	N/A
deferred publication		Definition has been amended for further accuracy.	G
	direct electronic access	New definition as a result of MiFID II changes.	M
direct market access		Definition amended to reflect MiFID II definition.	M
electronic form		Retained.	N/A
employee		Retained.	N/A
EU regulated market		Retained.	N/A

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Existing Definition / Rule Number	New Definition / Rule Number	Action	Action as a result of: MiFID II = M General rule review = G No impact = N/A
	exceptional circumstances	New definition to reflect MiFID II definition.	M
Exchange		Retained.	N/A
Exchange market size		Retained.	N/A
Exchange price list		Retained.	N/A
exchange traded product		Retained.	N/A
exchange traded fund		Retained.	N/A
executable quote		Retained.	N/A
fine		Retained.	N/A
firm quote		Retained.	N/A
fixed interest market maker		Definition deleted in order to have a single generic market maker definition.	G
fixed interest security		Retained.	N/A
fixed penalty		Retained.	N/A
FSMA		Retained.	N/A
General Clearing Member		Retained.	N/A
gilt-edged market maker		Definition deleted in order to have a single generic market maker definition.	G
gilt-edged security		Definition expanded to include index-linked gilt-edged securities in order to have a single generic definition.	G
gilt inter dealer broker		Deleted as a result of MiFID II requirements.	M
Guide to the trading system		Retained.	N/A
hammer price		Retained.	N/A
holding company		Amended to update legislative reference.	G
index		Retained.	N/A
index-linked gilt-edged security	gilt-edged security	Definition deleted, included within expanded definition of gilt-edged security.	G
Individual Clearing Member		Retained.	N/A
instruction notice		Retained.	N/A
introducing firm		Retained.	N/A
ISIN		Retained.	N/A
lapsing instruction		Retained.	N/A

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	large in scale	New definition to reflect MiFIR requirements.	M
large trade		Definition deleted.	M
last time for claims		Retained.	N/A
latest time for delivery		Retained.	N/A
LCH rules		Retained.	N/A
	LEI	New definition as a result of MiFID II requirements.	M
lending arrangement		Retained.	N/A
liquidity provider		Definition deleted and replaced with the concept of a bid only market maker.	G
Listing Rules		Retained.	N/A
London Stock Exchange Derivatives Market		Retained.	N/A
	LSE ID	New definition as a result of changes for MiFID II.	M
	LSEG Transaction Reporting Guide for Non-MiFID Firms	New definition as a result of MiFID II requirements.	M
mandatory period		Retained.	N/A
market maker		Retained	N/A
	market making agreement	New definition as a result of MiFID II requirements.	M
	market making scheme	New definition as a result of MiFID II requirements.	M
	market making strategy	New definition as a result of MiFID II requirements.	M
market situation		Amended to include MiFID II requirements.	M
marketable quantity		Definition deleted as no longer required within the rules.	G
matched buyer		Retained.	N/A
	matched principal transaction	New definition to replicate new MiFID II definition.	M
matched seller		Retained.	N/A
member firm		Retained.	N/A
member ID		Retained.	N/A
	Member Portal	New definition.	G
membership profile		Retained.	N/A
MiFID		Amended to update legislative reference.	M
	MiFIR	New definition.	M

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MiFID transparent security		Amended to update for MiFID II.	M
model B firm		Retained.	N/A
	National ID	New definition.	M
near maturity gilt		Definition deleted as it is only used within the Gilt Inter Dealer Broker definition which is itself being deleted.	M
negotiated trade		Definition updated .	M
Non Clearing Member		Retained.	N/A
	Non-MiFID member firm	New definition introduced to reflect MiFID II in terms of transaction reporting requirements.	M
notice		Retained.	N/A
offer price		Retained and updated.	G
on Exchange		Retained.	N/A
order book		Retained.	N/A
order-driven trading security		Retained.	N/A
order-driven trading service		Retained.	N/A
overseas		Retained.	N/A
parameters		Retained and updated.	M
person		Retained.	N/A
portfolio trade		Deleted.	G
principal		Retained	N/A
principal listing	relevant market	Definition deleted and replaced with definition updated to MiFID II terminology.	M
PTM levy		Retained.	N/A
quote		Retained.	N/A
quote-driven trading security		Retained.	N/A
quote-driven trading service		Retained.	N/A
registrar		Retained.	N/A
registration day		Retained.	N/A
regular trading		Retained.	N/A
regulated activity		Deleted as ne longer required.	G
Regulated Activities Order		Retained.	N/A

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Reg S traded security		Retained.	N/A
relevant agency contract		Retained.	N/A
relevant contracts		Retained.	N/A
	relevant market	New definition replacing principal listing definition to reflect updated MiFID II terminology.	M
relevant principal contract		Retained.	N/A
RepoClear		Definition deleted as no longer required within the rule book as it relates to Gilt Inter Dealer Broker clearing services.	M
requesting party		Retained.	N/A
RFQ quote		Retained.	N/A
rights		Retained.	N/A
RNS		Retained.	N/A
Rules of the London Stock Exchange		Retained.	N/A
Rules of the London Derivatives Exchange		Retained.	N/A
Scheduled Level 1 Only auction		Retained.	N/A
seller		Retained.	N/A
settlement agent		Retained.	N/A
settlement rules		Retained.	N/A
specified time		Retained.	N/A
sponsored access		Amended to reflect MiFID II requirements.	M
standard settlement		Retained.	N/A
	standard trade reporting deadline	New definition in order to reflect new MiFID II timescales.	M
Stock Exchange market contract		Retained.	N/A
stock situation		Retained.	N/A
	stressed market conditions	New definition to reflect MiFID II requirements.	M

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subsidiary		Retained.	N/A
tradeable instrument code	LSE ID	Deleted as new definition introduced.	M
	TRADEcho	New definition.	M
trade report		Retained.	N/A
trade reporting period		Retained.	N/A
trader group		Retained.	N/A
trading system		Retained.	N/A
	transaction reporting fields	New definition to reflect MiFID II changes.	M
uncertificated securities		Retained.	N/A
United Kingdom		Retained.	N/A
unsettled claim		Retained.	N/A
warning notice		Retained.	N/A
warrant		Retained.	N/A
when issued dealing		Retained.	N/A
wholesale dealer broker		Deleted as a result of MiFID II changes..	M
RULES		CORE RULES	
1000	1000	Retained.	N/A
1000.1	1000.1	Retained.	N/A
1000.2	1000.2	Retained.	N/A
1000.3		Deleted – Gilt-edged market maker ring-fenced membership.	G
1000.4		Deleted – Fixed interest market maker ring-fenced membership.	G
1000.5	1000.3	Re-numbered as a result of above rule amendments.	N/A
	1000.4	New rule and guidance in order to clarify membership profile of a non-trading member firm.	G
1010	1010	Retained - guidance amended to clarify and legislative references updated.	G
	1011	New rule and guidance regarding transaction reporting requirements for non-MiFID member firms.	M
	1012	New rule and guidance regarding transaction reporting requirements for non-MiFID member firms.	M
1015	1013	Re-numbered and amended to reflect action that can be taken by the Exchange where new rules 1011 or 1012 are breached.	M
1015.1	1013.1	Re-numbered.	N/A

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1015.2	1013.2	Re-numbered.	N/A
1020	1020	Retained – guidance added regarding the Exchange’s assessment of a member firm’s compliance with the rules to reflect MiFID II requirements.	M
1020.1	1020.1	Retained.	N/A
1020.2	1020.2	Retained.	N/A
1020.3	1020.3	Retained.	N/A
1020.4	1020.4	Retained.	N/A
1021	1021	Retained.	N/A
1021.1	1021.1	Retained.	N/A
1012.2	1012.2	Retained.	N/A
1021.3	1021.3	Retained.	N/A
1022	1022	Retained.	N/A
1023	1023	Retained.	N/A
1023.1	1023.1	Retained.	N/A
1023.2	1023.2	Retained.	N/A
1023.3	1023.3	Retained.	N/A
1024	1024	Retained.	N/A
1025	1025	Retained.	N/A
1025.1	1025.1	Retained.	N/A
1025.2	1025.2	Retained.	N/A
1025.3	1025.3	Retained.	N/A
1030	1030	Retained.	N/A
1031	1031	Retained.	N/A
1032	1032	Retained.	N/A
1033	1033	Retained.	N/A
1040	1040	Retained.	N/A
1050	1050	Retained.	N/A
1051	1051	Retained – Guidance updated.	G
1052	1052	Retained – Guidance updated.	G
1060	1060	Retained.	N/A
1070	1070	Amended to reflect MiFID II requirements.	M
1080	1080	Retained.	N/A
1090	1090	Retained.	N/A
1091	1091	Retained.	N/A
1092	1092	Retained.	N/A
1100	1100	Retained.	N/A
1110	1110	Retained.	N/A
1120	1120	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1121	1121	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1122	1122	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1123	1123	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1124	1124	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1125	1125	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M

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1126	1126	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1127	1127	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1128	1128	Deleted – Relates to Gilt Inter Dealer Broker and Wholesale dealer broker services.	M
1200	1200	Retained.	N/A
1210	1210	Rule and guidance amended.	G
1211	1211	Retained.	N/A
1212	1212	Retained.	N/A
1213	1213	Retained.	N/A
1214	1214	Retained.	N/A
1214.1	1214.1	Retained.	N/A
1214.2	1214.2	Retained.	N/A
1214.3	1214.3	Retained.	N/A
1214.4	1214.4	Retained.	N/A
1214.5	1214.5	Retained.	N/A
1214.6	1214.6	Retained.	N/A
1215	1215	Retained.	N/A
1216	1216	Retained.	N/A
1217	1217	Retained.	N/A
1300	1300	Retained.	N/A
1300.1	1300.1	Retained.	N/A
1300.2	1300.2	Retained.	N/A
1300.3	1300.3	Retained.	N/A
1301	1301	Retained.	N/A
1302	1302	Retained.	N/A
1303	1303	Retained.	N/A
1400	1400	Retained.	N/A
1400.1	1400.1	Retained.	N/A
1400.2	1400.2	Retained.	N/A
1400.3	1400.3	Retained.	N/A
1400.4	1400.4	Retained.	N/A
1400.5	1400.5	Retained.	N/A
1400.6	1400.6	Retained.	N/A
1410	1410	Retained - guidance regarding closing auctions updated.	G
1420	1420	Retained - guidance and contact information updated.	M
	1421	New rule and guidance reflecting MiFID II requirements.	M
	1421.1	New rule reflecting MiFID II requirements.	M
	1421.2	New rule reflecting MiFID II requirements.	M
	1422	New rule and guidance reflecting MiFID II requirements regarding the testing of algorithms.	M
1500	1500	Retained - guidance updated and references to liquidity providers deleted.	G
1510	1510	Retained - guidance updated for MiFID II.	M
1511	1511	Retained.	N/A
1512	1512	Amended to update references to MiFID II terminology.	M

Existing Definition / Rule Number	New Definition / Rule Number	Action	Action as a result of: MiFID II = M General rule review = G No impact = N/A
1513	1513	Guidance to rule updated for clarity.	G
1520	1520	Guidance to rule amended.	G
1530	1530	Retained - wording amended.	G
1531	1530.1	Deleted - rule collapsed into amended Rule 1530.1.	G
1531.1	1530.1	Amended to incorporate Rule 1531 and re-numbered.	G
1531.2	1530.2	Retained – renumbered as a result of above amendments.	G
1531.3	1530.3	Retained – renumbered as a result of above amendments.	G
1532		Retained – minor amendment to guidance.	G
	1535	Reordered and renumbered rule (was Rule 3070) guidance deleted.	G
1540	1540	Rule amended and guidance deleted.	G
1550	1550	Retained.	N/A
		ORDER BOOK TRADING RULES	
2000	2000	Retained.	N/A
2100	2100	Retained.	N/A
2100.1	2100.1	Retained- minor amendment.	N/A
2100.2	2100.2	Retained- minor amendment.	N/A
	2100.3	New rule and guidance to reflect MiFID II requirements regarding information to be submitted on orders.	M
2101	2101	Retained, minor amendment to guidance.	G
2102	2102	Rule updated for MiFID II.	M
2103	2122	Rule and guidance amended for MiFID II, rule reordered and re-numbered.	M
2104	2123	Rule and guidance amended for MiFID II, rule reordered and re-numbered.	M
2105	2124	Rule reordered and re-numbered.	G
2106	2125	Rule reordered and re-numbered.	G
2107	2103	Retained – rule reordered and re-numbered.	G
2108	2104	Amended for accuracy, rule reordered and re-numbered.	G
2109	2105	Retained – rule reordered and re-numbered.	G
2110	2110	Retained.	N/A
2120	2120	Retained.	N/A
2120.1	2120.1	Retained.	N/A
2120.2	2120.2	Retained.	N/A
2120.3	2120.3	Retained.	N/A
2120.4	2120.4	Retained.	N/A
2121	2121	Retained.	N/A
2103	2122	Rule and guidance updated for MiFID II, rule reordered and re-numbered.	M
2104	2123	Rule and guidance updated for MiFID II, rule reordered and re-numbered.	M
2105	2124	Retained – rule reordered and re-numbered.	G
2106	2125	Retained – rule reordered and re-numbered.	G
2130		Deleted.	G
2131		Deleted.	G
2132		Deleted.	G

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2140		Deleted.	G
2141		Deleted.	G
2142		Deleted.	G
2150		Deleted.	G
2150.1		Deleted.	G
2150.2		Deleted.	G
		OFF ORDER BOOK TRADING RULES	
3000	3000	Retained – rule amended.	G
3000.1		Retained, rule wording and guidance updated.	M
3000.2		Retained, rule wording and guidance updated.	G
3000.3		Deleted – guidance deleted.	M
3001	3001	Retained.	N/A
3002	3002	Retained.	N/A
3003	3003	Retained.	N/A
3004	3004	Retained.	N/A
3010	3010	Retained – guidance amended to include matched principal transactions and guidance regarding erroneous trade reports.	M
3011	3011	Retained – rule and guidance amended to reflect MiFID II requirements including matched principal transactions.	M
3012	3012	Retained.	N/A
3012.1	3012.1	Retained, guidance amended.	M
3012.2	3012.2	Retained, guidance amended.	M
3012.3	3012.3	Retained, guidance amended.	M
3012.4	3012.4	Retained.	N/A
3013	3013	Retained, guidance amended.	M
3020	3020	Retained – rule and guidance updated to reflect MiFID II requirements.	M
3021	3021	Retained.	N/A
	3022	New rule and guidance regarding Exchange enforced cancellations of off order book trades.	G
3030	3030	Retained – rule and guidance amended. Provisions of Rule 3032 added to guidance.	M
3031	3031	Retained.	N/A
3031.1	3031.1	Retained.	N/A
3031.2	3031.2	Retained.	N/A
3031.3	3031.3	Retained.	N/A
3032	3030	Rule moved as guidance to Rule 3030.	G
3033		Rule and guidance updated for MiFID II.	M
3034		Deleted.	G
3035		Deleted.	G
3040	3040	Retained - guidance updated for MiFID II.	M
3050	3050	Rule amended to reflect MiFID II requirements new guidance added.	M
3050.1		Deleted.	M
3050.2		Deleted.	M
3050.3		Deleted.	M
3051		Deleted.	M
3052		Deleted.	M
3060	3060	Retained – wording amended.	G

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3061	3061	Retained – wording amended.	G
3062		Deleted.	G
3063		Deleted.	G
3064		Deleted.	G
3070	1535	Rule reordered and re-numbered and guidance deleted.	G
3070.1		Deleted.	G
3070.2		Deleted.	G
3080	3080	Rule and guidance amended to include matched principal transactions.	M
3081	3081	Retained.	N/A
3090		Deleted.	G
3091		Deleted.	G
3092		Deleted.	G
3100	3100	Retained.	N/A
3101	3101	Retained.	N/A
3110		Deleted.	G
3111		Deleted.	G
3112		Deleted.	G
3120		Deleted.	G
3120.1		Deleted.	G
3120.2		Deleted.	G
3120.3		Deleted.	G
3130		Deleted.	G
3131		Deleted.	G
3140	3110	Retained - rule renumbered and new guidance added.	G
3140.1	3110.1	Retained – rule renumbered.	N/A
3140.2	3110.2	Retained – rule renumbered.	N/A
3140.3	3110.3	Retained – rule renumbered.	N/A
3140.4	3110.4	Retained – rule renumbered.	N/A
3140.5	3110.5	Retained – rule renumbered.	N/A
3150		Deleted.	G
		MARKET MAKER RULES	
4000	4000	Retained – guidance deleted in relation to gilt-edged and index-linked gilt-edged securities.	M
4001	4001	Retained – rule and guidance updated.	G
4002	4002	Retained, updated with new guidance.	G
4003	4003	Rule updated.	G
4010	4010	Retained.	N/A
4100	4100	Retained.	N/A
4101	4101	Retained.	N/A
4101.1	4101.1	Retained.	N/A
4101.2	4101.2	Retained.	N/A
4101.3	4101.3	Retained – minor amendment.	G
4101.4	4101.4	Retained – minor amendment.	G
	4101.5	New rule to allow for bid-only market makers in Exchange designated fixed income securities.	G
4102	4102	Retained.	N/A
4103	4103	Retained.	N/A

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4110	4110	Retained.	N/A
4110.1	4110.1	Retained.	N/A
4110.2	4110.2	Retained.	N/A
4110.3	4110.3	Retained.	N/A
4110.4	4110.4	Rule updated to reflect MiFID II terminology.	M
4110.5	4110.5	Rule updated to reflect MiFID II terminology.	M
4110.6	4110.6	Retained.	N/A
4200	4200	Retained.	N/A
4201	4201	Retained.	N/A
4202	4202	Retained.	N/A
4203	4203	Retained – rule cross reference amended.	N/A
4203.1	4203.1	Retained.	N/A
4203.2	4203.2	Retained.	N/A
4310	4204	Rule reordered and re-numbered cross references in guidance updated.	G
4311	4205	Retained – rules reordered and re-numbered.	G
4311.1	4205.1	Retained – rules reordered and re-numbered.	G
4311.2	4205.2	Retained – rules reordered and re-numbered.	G
4311.3	4205.3	Retained – rules reordered and re-numbered.	G
4312	4206	Retained – rule cross reference amended. Rule reordered and re-numbered.	G
4204	4207	Retained – rule reordered and re-numbered.	G
4205	4208	Retained – rule reordered and re-numbered.	G
4206	4209	Retained – rule reordered and re-numbered.	G
4210	4210	Retained.	N/A
4211	4211	Retained.	N/A
4220	4220	Retained.	N/A
4220.1	4220.1	Retained – wording amended.	G
4220.2	4220.2	Retained – wording amended.	G
4220.3	4220.3	Retained.	N/A
4220.4	4220.4	Retained – wording amended to reflect MiFID II terminology.	M
4220.5	4220.5	Retained – wording amended to reflect MiFID II terminology.	M
4221	4221	Retained – minor amendment to guidance.	G
4300	4300	Retained.	N/A
4301	4301	Retained.	N/A
4310	4204	Retained – rule reordered and re-numbered.	G
4311	4205	Retained – rule reordered and re-numbered.	G
4311.1	4205.1	Retained – rule reordered and re-numbered.	G
4311.2	4205.2	Retained – rule reordered and re-numbered.	G
4311.3	4205.3	Retained – rule reordered and re-numbered.	G
4312	4206	Retained – rule cross reference amended. Rule reordered and re-numbered.	G
4320		Deleted.	G
4321		Deleted.	G
4322		Deleted.	G
4322.1		Deleted.	G
4322.2		Deleted.	G
4322.3		Deleted.	G
4330	4330	Retained – wording and guidance amended.	M

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Existing Definition / Rule Number	New Definition / Rule Number	Action	Action as a result of: MiFID II = M General rule review = G No impact = N/A
4330.1		Deleted collapsed into Rule 4330.	M
4330.2		Deleted.	M
4331	4331	Retained.	N/A
4332	4332	Retained.	N/A
4333	4333	Retained.	N/A
4334	4334	Retained.	N/A
4334.1	4334.1	Retained.	N/A
4334.2	4334.2	Retained.	N/A
4334.3	4334.3	Retained.	N/A
4400		Deleted.	G
4401.1		Deleted.	G
4401.2		Deleted.	M
4401.3		Deleted.	M
4410		Deleted.	G
4410.1		Deleted.	G
4410.2		Deleted.	G
4410.3		Deleted.	M
4410.4		Deleted.	M
4410.5		Deleted.	M
4411		Deleted.	G
4500		Deleted.	G
4501		Deleted.	G
4510		Deleted.	G
4510.1		Deleted.	G
4510.2		Deleted.	G
	4400	New rule and guidance to reflect MiFID II requirements for market maker agreements.	M
	4401	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.1	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.2	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.3	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.4	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.5	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.6	New rule to reflect MiFID II requirements for market maker agreements.	M
	4401.7	New rule to reflect MiFID II requirements for market maker agreements.	M
	4402	New rule to reflect MiFID II requirements for market maker agreements.	M
	4403	New rule to reflect MiFID II requirements for market maker agreements.	M
	4410	New rule and guidance to reflect MiFID II requirements for market maker schemes.	M
	4411	New rule to reflect MiFID II requirements for market maker schemes..	M

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Existing Definition / Rule Number	New Definition / Rule Number	Action	Action as a result of: MiFID II = M General rule review = G No impact = N/A
	4412	New rule to reflect MiFID II requirements for market maker schemes.	M
		SETTLEMENT, CLEARING AND BENEFIT RULES	
5000	5000	Rules retained.	N/A
To	To		
5101.1	5101.1		
5101.2	5101.2	Rule and guidance amended to include matched principal transactions.	M
5102	5102	Rules retained.	N/A
To	To		
5720	5720		
		COMPLIANCE PROCEDURES	
C100	C100	Rules retained.	N/A
To	To		
C506	C506		
		DEFAULT RULES	
D010	D010	Retained.	N/A
D020	D020	Retained – guidance amended to remove references to Repoclear.	M
D021	D021	Rules retained.	N/A
To	To		
D115.5	D115.5		
D120	D120	Rule amended to remove references to Gilt Inter Dealer Brokers and Wholesale Dealer Brokers.	M
D130	D130	Rules retained.	N/A
To	To		
D152	D152		
D153		Deleted as rule relates to Gilt Inter Dealer Brokers and Wholesale Dealer Brokers.	M
D154	D154	Rules retained.	N/A
To	To		
D200.2	D200.2		