

2 May 2018

N05/18 – En+ Group GDR (REG S) - update

1. This Notice is an update to London Stock Exchange (“the Exchange”) N04/18 in relation to En+ Group plc GDR (REG S) (ISIN: US29355E2081, TIDM: ENPL).
2. The Exchange notes the new General License no. 13A issued on 1 May 2018 by the US Department of the Treasury’s Office of Foreign Assets Control (“OFAC”) which takes the place of General License 13. Under the revised provisions US persons have until 12.01am (EDT) 6 June 2018 to divest or transfer their holdings in the relevant securities to non-US persons. Further information in relation to General License no. 13A and these sanctions (including FAQs) can be found on OFAC’s website:

https://www.treasury.gov/resource-center/sanctions/Programs/Documents/ukraine_gl13a.pdf

<https://www.treasury.gov/resource-center/sanctions/OFAC-Enforcement/Pages/20180406.aspx>
3. The Exchange also notes the updated settlement information provided by Euroclear Bank SA/NV in its Newsletter 2018-N-025 dated 2 May 2018 regarding settlement of securities subject to OFAC’s General License no. 13A.
4. In light of the above information the Exchange will not suspend the trading of En+ Group GDR (REG S) according to the timing announced in N04/18, suspension of trading will now take place from **17:15** (end of trade reporting) on **Friday 1 June 2018** (if there are no further timing changes). The Exchange will continue to monitor the situation and is in communication with the UK authorities.
5. Member firms are reminded that they must conduct their own due diligence and take appropriate measures to ensure that they comply with any applicable current or future sanctions and that they are not using the Exchange’s systems to perform transactions that would facilitate direct or indirect transactions by US persons in breach of these sanctions.
6. This Notice does not constitute legal advice.

Market Notice

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7. Queries on this Notice should be addressed to the Rules and Compliance Team, telephone +44 (0)20 7797 2190.

This Stock Exchange Notice will be available on the website at:

www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/stockexnoticesnew/

Calls to London Stock Exchange plc may be recorded to enable London Stock Exchange to carry out its regulatory responsibilities