Market Notice



4 January 2020

N02/21 Chinese Military Companies Sanctions

- 1. On 12 November 2020, Executive Order 13959 ("E.O.") was published in the United States prohibiting certain actions by US persons in relation to Chinese Military Companies. Further information in relation to these sanctions can be found at this link. Under the prohibitions, beginning from 9:30am (EST) on 11 January 2021, US Persons are unable to transact in securities of publicly traded companies defined in section 4(a)(i) of the E.O. The US Department of the Treasury's Office of Foreign Assets Control ("OFAC") has published a list containing the names of entities identified pursuant to the E.O., along with identifying information.
- 2. London Stock Exchange ("the Exchange") notes the announcement by NYSE that it will commence proceedings to delist the below securities admitted to trading on the Global Equity Segment ("GES") of the Exchange. Accordingly, as the below securities are admitted to trading on the basis of their NYSE primary listing they will be removed from GES with effect from close of business 4 January 2021.

Name	ISIN
China Mobile Ltd	US16941M1099
China Unicom Hong Kong Ltd	US16945R1041

3. The Exchange will continue to monitor the situation. Questions about this Notice can be sent to: stockexchangenotices@lseg.com.

Claire Foster Head of LSE Plc Regulation and Compliance

This Stock Exchange Notice will be available on the website at:

www.londonstockexchange.com/en-gb/products/membershiptrading/rulesreg/stockexnoticesnew/

Calls to London Stock Exchange plc may be recorded to enable London Stock Exchange to carry out its regulatory responsibilities