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# Service Announcement

001\12102020



**London**  
Stock Exchange

## Brexit Preparation - Transaction Reporting Reminder

Further to the Service Announcement 001\03062020, the purpose of this announcement is to provide a reminder of London Stock Exchange's transaction reporting requirements for member firms located in the European Economic Area (EEA) following the end of the Brexit transition period.

Following the end of the Brexit transition period on **31 December 2020**, London Stock Exchange trading venues will be required to transaction report to FCA on behalf of member firms located in the EEA unless they have confirmed that they conduct all their business via a registered branch office in the UK. These firms will become "Third Country Member Firms" following the end of the Brexit transition period. Please note that a member firm's status as a "Third Country Member Firm" would be **unaffected** by any equivalence that may or may not be concluded between the UK and EU.

The [Third Country Transaction Reporting Member Guide](#), detailing the transaction reporting process, has been published on London Stock Exchange's website. Customers should ensure that fields are sent in line with the guidance in the Member Guide. In particular, TVTIC must be sent in Base 10 numeric format.

The Customer Development Service (CDS) is available. Customer are required to pass a conformance test. Testing is mandatory and must be completed by **13 November 2020**. Customers who have tested previously are required to re-test their arrangements in advance of the deadline. The [Third Country Transaction Reporting Testing Guide](#), outlining the testing requirements, can be found on the London Stock Exchange website.

Customers should reach out to the Technical Account Management team for on-boarding and to arrange testing. The Technical Account Management team can be contacted on +44 (0) 20 7797 3939 or [londontam@lseg.com](mailto:londontam@lseg.com).

Member firms should review the Exchange's [Brexit Implementation Assessment](#) or speak with their Technical Account Manager for further information. For further information on the SFTP set up or to request access to the SFTP for transaction reporting, please contact the Technical Account Management team.

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### Relates to

#### Exchange(s)

- London Stock Exchange

#### Environment(s)

- Customer Development Service (CDS)

#### Type

- Service Update

#### Product(s)

- Transaction Reporting

### Additional Information

If you have any queries, please contact your Technical Account Manager on +44 (0) 20 7797 3939 or [londontam@lseg.com](mailto:londontam@lseg.com).

An archive of previously issued service announcements is available in the technical library section of London Stock Exchange's Internet site  
<http://www.londonstockexchange.com/techlib>

**Customers are reminded that London Stock Exchange may broadcast test data from its Production environment at the weekends which should be discarded and not processed.**

**Customers are reminded that London Stock Exchange may extend or re-enter the Post Close session for instruments or segments in order to perform necessary end of day activities.**