
Service Announcement

001/23082019



London
Stock Exchange

Brexit Preparation - Transaction Reporting Contingency Arrangements and CDS Availability

The purpose of this Service Announcement is to provide a reminder of London Stock Exchange's transaction reporting requirements for Third Country Members in a Hard Brexit scenario.

In the event that no transition or other agreement is reached before the United Kingdom's withdrawal from the European Union on 31 October 2019 (Hard Brexit), London Stock Exchange trading venues will be required to transaction report to FCA on behalf of "Third Country Member Firms".

A Third Country Transaction Reporting Member Guide has been published on London Stock Exchange's website at the link below:

<https://www.londonstockexchange.com/products-and-services/technical-library/millennium-exchange-technical-specifications/millennium-exchange-technical-specifications.htm>

For those Third Country firms that have yet to do so, they are reminded to complete the attached Member Firm Declaration Form and return this to the Membership team at membership@lseg.com.

We are also informing customers that transaction reporting is expected to be available on the Customer Development Service (CDS) during mid- September through to the current Brexit date of 31 October 2109. An exact date and testing details will be communicated in a future announcement at the beginning of September 2019.

Third Country Member Firms are also requested to reach out to their Technical Account Manager or the Technical Account Management team to begin readiness and onboarding preparations. All affected customers should note that testing is mandatory and must be completed before accessing the service in Production.

The Technical Account Management team can be contacted on +44 (0) 20 7797 3939 or londontam@lseg.com.

Please note that the scope of trading access to the Exchange for member firms located in the European Union may be limited in a Hard Brexit scenario. Member firms should review the Exchange's [Hard Brexit Impact Assessment](#) or speak with their Technical Account Manager for further information. For further information on the SFTP set up or to request access to the SFTP for transaction reporting, please contact the Technical Account Management team.

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Relates to

Exchange(s)

- London Stock Exchange

Environment(s)

- Customer Development Service (CDS)

Type

- Service Update

Product(s)

- Transaction Reporting

Additional Information

If you have any queries, please contact your Technical Account Manager on +44 (0) 20 7797 3939 or londontam@lseg.com.

An archive of previously issued service announcements is available in the technical library section of London Stock Exchange's Internet site <http://www.londonstockexchange.com/techlib>

Customers are reminded that London Stock Exchange may broadcast test data from its Production environment at the weekends which should be discarded and not processed.

Customers are reminded that London Stock Exchange may extend or re-enter the Post Close session for instruments or segments in order to perform necessary end of day activities.