DEFINITIONS

CurveGlobal Markets  
the market operated by London Stock Exchange plc for derivatives

Rules of the London Stock Exchange  
the rules set out in this document and the Rules of CurveGlobal Markets

Rules of CurveGlobal Markets  
the rules for any activity conducted on CurveGlobal Markets only

CORE RULES

Member firms

Categories of Membership [1000]

<table>
<thead>
<tr>
<th>G 1000</th>
<th>The Exchange may permit membership under one of the following categories:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1000.1</td>
<td>a full member firm;</td>
</tr>
<tr>
<td>1000.2</td>
<td>a General Clearing Member;</td>
</tr>
<tr>
<td>1000.3</td>
<td>a derivatives-only member; or</td>
</tr>
<tr>
<td>1000.4</td>
<td>a non-trading member firm.</td>
</tr>
</tbody>
</table>

Guidance to Rule:

For the purposes of these rules, references to member firm includes all categories of membership as defined above, save that:

- A member firm whose scope of on Exchange business is solely to act as a General Clearing Member on behalf of other member firms shall be bound by the applicable Core Rules, Settlement and Clearing Rules, compliance procedures and default rules.

- A member firm whose scope of business is solely to trade in derivatives on CurveGlobal Markets shall be bound by the Rules of CurveGlobal Markets.

- A member firm whose scope of business includes trading in derivatives on CurveGlobal Markets shall be bound by the Rules of the London Stock Exchange.

- A non-trading member firm shall be bound by the applicable Core Rules and compliance procedures, however, their membership profile does not permit them to trade as a member firm. Any on Exchange stock borrowing and lending activity undertaken by non-trading member firms shall be bound by Rules 3001 - 3004, Settlement and Clearing Rules and default rules.

(Amended N09/19 – effective 1 July 2019)